W T P

WEALTH & TAX PLANNERS

www.wtplanners.com

3021 Citrus Circle ● Suite 200 ● Walnut Creek, CA 94598 ● (925) 938-4300

Annual Offer of Form ADV

Wealth & Tax Planners. is a Registered Investment Adviser. If you would like to receive a copy of Form ADV Part 2A for Wealth & Tax Planners, please notify the address below in writing.

Wealth & Tax Planners
3021 Citrus Circle, Suite 200
Walnut Creek, CA 94598

Privacy Policy of Wealth & Tax Planners

This is a privacy policy statement on behalf of Wealth & Tax Planners. We believe that personal information we collect about our customers must be treated with confidentiality. For this reason and in compliance with the law, we have developed a *Privacy Polic*y, which may be modified from time to time.

Wealth & Tax Planners collects nonpublic personal information about you from applications and other forms, which we receive from you and from oral and e-mail communications. We may also receive information from consumer reporting agencies. Wealth & Tax Planners representatives independently provide investment advice, insurance sales, and other services to you and receive information in the same way from you.

Wealth & Tax Planners, in the course of their business, will share this information that they collect with each other and with unaffiliated third parties (mutual funds, money managers, annuity providers, e.g.) for use in the business that they independently conduct. At such time as Wealth & Tax Planners terminate their relationship with one another, the information may be retained by both of them. We may disclose non-public information about you in connection with the transfer of your account to another financial institution at your request or the request of your representative.

We will not provide nonpublic personal information about you to non-affiliated third parties except to enable them or us to service your account, or when required by law.

If you prefer that we not disclose nonpublic personal information about you to nonaffiliated third parties, you may opt out of those disclosures; that is, you may direct us not to make those disclosures (other than disclosures permitted by law). If you wish to opt out of disclosures to nonaffiliated third parties, you must call the following number or write to the following address within 30 calendar days:

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We restrict access to nonpublic personal information about you to those representatives and employees who need to know that information to provide products or services to you. We maintain physical, electronic, and procedural safeguards to guard your nonpublic personal information.

Business Continuity Plan

In accordance with federal requirements Wealth & Tax Planners maintains a Business Continuity Plan (BCP) that describes what steps will be taken to ensure the continuity of our business operation in the event of an unanticipated disaster. The plan has been designed with procedures to ensure that client documentation will be accessible and that contact between Wealth & Tax Planners and its clients will be sustained. Copies of the BCP are available upon request.

Anti-Money Laundering Procedures

To help the government fight the funding of terrorism and money laundering activities, Federal law requires all financial institutions to obtain, verify and record information that identifies each person who opens an account. What this means for you: when you open an account, or engage our company for services we will ask for your name, address, date of birth, and other information that will allow us to identify you. We may also ask to see a current government issued identification including your driver's license, information documents for companies or other identifying document.

SIPC Coverage

You may obtain information about SIPC, including the SIPC brochure, by contacting SIPC at http://www.sipc.org/ or calling
Tel: (202)371-8300.